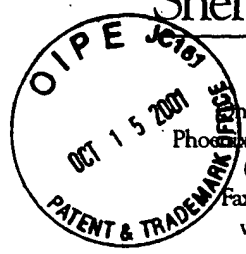


10-16-01

3738



Snell & Wilmer

L.L.P.
LAW OFFICES

One Arizona Center
Phoenix, Arizona 85004-2202
(602) 382-6000
Fax: (602) 382-6070
www.swlaw.com

PHOENIX, ARIZONA

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Thomas J. Finn (602) 382-6208
Patents, Trademarks & Copyrights
tfinn@swlaw.com

October 15, 2001

VIA EXPRESS MAIL
NO. EL608992263US

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

Re: US Patent Application, Breck, et al.
Title: SYSTEM FOR FACILITATING A TRANSACTION
Serial No.: 09/800,461; Confirmation No.: 3738
Filing Date: March 7, 2001
File No.: 40655.0700

Dear Sir or Madam:

Enclosed are the following documents:

1. Fee Transmittal for FY 2001;
2. Checks nos. 283987 and 283994 totaling \$170.00;
3. Return Receipt Postcard (postage prepaid);
4. Petition and Fee to Correct Inventorship in a Patent Application Pursuant to 37 C.F.R. §1.48(a);
5. Preliminary Amendment;
6. Statement of Christina Chow Pursuant to 37 C.F.R. §1.48(a);
7. Declaration and Power of Attorney for Patent Application;
8. Consent of Assignee to Correct Inventorship Pursuant to 37 C.F.R. §148(a) and Statement Pursuant to 37 C.F.R. §3.73(b);
9. Recordation Form Cover Sheet and Assignment;
10. Supplemental Information Disclosure Statement; and
11. Supplemental Information Disclosure Citation (1 backer).

Please process the enclosed. Thank you.

Sincerely,

Snell & Wilmer

Thomas J. Finn
Reg. No. 48,066

TJF:bf
Enclosures

cc: Ms. Patrice Jacobson (AMEX)

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Approved for use through 10/31/2002. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

Complete if Known

Application Number	09/800,461
Filing Date	March 7, 2001
First Named Inventor	Lydia Breck
Examiner Name	TBA
Group Art Unit	2161
Attorney Docket No.	40655.0700

AMOUNT OF PAYMENT \$170.00

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **19-2814**
Deposit Account Name **Snell & Wilmer**

- ☒ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17
☐ Applicant claims small entity status. See 37 CFR § 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
		101	710	201 355 Utility filing fee	
		106	320	206 160 Design filing fee	
		107	490	207 245 Plant filing fee	
		108	710	208 355 Reissue filing fee	
		114	150	214 75 Provisional filing fee	

SUBTOTAL (1)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
	-20** = 0	X 18.00	= 0.00
Independent Claims	-3** = 0	X 80.00	= 0.00
Multiple Dependent			

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
		103	18	203 9 Claims in excess of 20	
		102	80	202 40 Independent claims in excess of 3	
		104	270	204 135 Multiple dependent claim, if not paid	
		109	80	209 40 ** Reissue independent claims over original patent	
		110	18	210 9 ** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2)

\$0.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
		105	130	205 65 Surcharge - late filing fee or oath	
		127	50	227 25 Surcharge - late provisional filing fee or oath sheet	
		139	130	139 130 Non - English specification	
		147	2,520	147 2,520 For filing a request for <i>ex parte</i> reexamination	
		112	920*	112 920* Requesting publication of SIR prior to Examiner action	
		113	1,840*	113 1,840* Requesting publication of SIR after Examiner action	
		115	110	215 55 Extension for reply within first month	
		116	390	216 195 Extension for reply within second month	
		117	890	217 445 Extension for reply within third month	
		118	1,390	218 695 Extension for reply within fourth month	
		128	1,890	228 945 Extension for reply within fifth month	
		119	310	219 155 Notice of Appeal	
		120	310	220 155 Filing a brief in support of an appeal	
		121	270	221 135 Request for oral hearing	
		138	1,510	138 1,510 Petition to institute a public use proceeding	
		140	110	240 55 Petition to revive - unavoidable	
		141	1,240	241 620 Petition to revive - unintentional	
		142	1,240	242 620 Utility issue fee (or reissue)	
		143	440	243 220 Design issue fee	
		144	600	244 300 Plant issue fee	
		122	130	122 130 Petitions to the Commissioner	130.00
		123	50	123 50 Processing fee under 37 CFR § 1.17(q)	
		126	180	126 180 Submission of Information Disclosure Statement	
		581	40	581 40 Recording each patent assignment per property (times number of properties)	40.00
		146	710	246 355 Filing a submission after final rejection (37 CFR § 1.129(a))	
		149	710	249 355 For each additional invention to be examined (37 CFR § 1.129(b))	
		179	710	279 355 Request for Continued Examination (RCE)	
		169	900	169 900 Request for expedited examination of a design application	

Other fee (specify)

SUBTOTAL (3)

\$170.00

SUBMITTED BY

Name (Print/Type) **Thomas J. Finn, Esq.**
Signature *Thomas J. Finn*

Registration No. (Attorney/Agent) **48,066**

Complete (if applicable)

Telephone **602/382/6208**
Date **October 15, 2001**

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.